



# Home and Community Based Services Provider Self-Assessments

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# Agenda

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- Background on rules for Home and Community Based Services (HCBS) settings
- Information on provider self-assessments
- Questions

# HCBS Settings: Rules

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- 1915(c) waivers
  - Community Living Assistance and Support Services (CLASS)
  - Deaf-Blind with Multiple Disabilities (DBMD)
  - Home and Community-based Services (HCS)
  - Medically Dependent Children Program (MDCP)
  - Texas Home Living (TxHmL)
  - Youth Empowerment Services (YES)
- 1915(i) state plan services.
- Community First Choice (1915(k) state plan services).
- HCBS State of Texas Access Reform (STAR+PLUS) Waiver (1115 demonstration waiver).

# HCBS Settings: Rules

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- Ensure individuals receive services in settings that are integrated in and support full access to the community.
- Give individuals the opportunity to:
  - Seek employment in competitive and integrated settings;
  - Take part in the community;
  - Be in control of personal resources; and
  - Get the same access to services as those not receiving HCBS.

# HCBS Settings\* : Requirements

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- Ensure individual's rights of privacy, dignity, and respect;
- Improve individual's independence;
- Protect individuals from limitations and being forced to choose a particular program, company, service or residential setting; and
- Give individuals options including non-disability specific locations that have private residential units.

# HCBS Settings: Provider-owned or Controlled Settings Rules

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- Ensure individuals have:
  - The same responsibilities and protections from eviction as other tenants have under state and local law;
  - Privacy in his or her sleeping and living unit, including locking doors;
  - Choice of roommates;
  - Freedom to furnish and decorate sleeping and living areas; and
  - Freedom and support to control their own schedules and activities.

# HCBS Transition Plan: Requirements & Phases

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- Review of existing settings (including whether they comply).
- Strategies and a plan for remediation.
- Public and stakeholder input into the assessment and remediation strategies.
- Assessment Phase
  - Internal Assessment
  - External Assessment
- Remediation Phase

# HCBS Assessment Phase

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- All states must do assessments to ensure that their program settings follow HCBS rules.
- If there are settings that are found to not follow the HCBS rules, those settings will be addressed through the remediation phase.
- DADS, HHSC, and DSHS have all completed the internal assessment phase.
- DADS and HHSC have developed assessments for residential and non-residential providers, service coordinators/case managers, and participants based on CMS guidance.

# Provider Participation

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- Provider participation is essential to a successful assessment process.
- The assessment process results will assist the state with the next phase of our transition plan.
- In order to comply with the Statewide Transition Plan, sampled providers need to respond to the survey to ensure validation as outlined in the plan.

# DADS 1915(c) Provider Assessments

# HCBS Assessment Phase: DADS External Assessment

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- Five types of DADS assessments:
  - Provider Residential
  - Participant Residential
  - Provider Non-Residential
  - Participant Non-Residential
  - Service Coordinator/Case Manager
- Provider residential self-assessments will be submitted to a sample of HCS providers, all DBMD providers, and CLASS providers whose individuals receive support family services.

# DADS External Assessment

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- DADS will be sending out an email to providers that have been chosen to complete the Provider Residential self-assessment.
- The Provider Residential self-assessment contains objective questions with required documentation uploads.
- Length of self-assessment will depend on whether the provider is a legal entity or at the setting level.
- Will be offered via Survey Gizmo (paper copy upon request).
- These surveys are not anonymous.

# DADS External Assessment

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- Self-assessment must be completed and submitted, along with any supporting documentation, to DADS within 14 days of notification of being chosen to participate.
- Supporting documentation must not include any confidential/identifying information about individuals in your program.
- Questions about the DADS assessments may be submitted to [HCBSSurvey@dads.state.tx.us](mailto:HCBSSurvey@dads.state.tx.us)

# HHSC 1115 STAR+PLUS HCBS Provider Assessments

# HCBS Assessment Phase: HHSC Surveys

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- HHSC has finished its internal assessment.
- Assisted Living Facility and Adult Foster Care providers and MCO service coordinators will be surveyed.
- Will survey a sample of members receiving HCBS STAR+PLUS waiver services.

# HHSC Survey

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- The HHSC survey tool asks a series of questions about the manner in which assisted living or adult foster care services are provided by the specific provider completing the survey.
- There will be a place at the end of each section of the survey for the provider to upload documentation supporting the responses in that section.
- These surveys are not anonymous.

# HHSC Survey

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- One survey must be completed for each provider-operated residence.
- The survey tool is approximately 100 questions.
- Surveys will be completed online via Survey Monkey.
- Providers will have 60 days from the date the survey tool is released to complete the survey.

# HCBS Resources

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- CMS HCBS webpage (Federal Regulations)
  - <http://www.medicaid.gov/medicaid-chip-program-information/by-topics/long-term-services-and-supports/home-and-community-based-services/home-and-community-based-services.html>
- HHSC HCBS webpage (Current STP available here)
  - <http://www.hhsc.state.tx.us/medicaid/hcbs/index.shtml>
- DADS HCBS webpage (Current STP available here)
  - <http://www.dads.state.tx.us/providers/HCBS/index.cfm>
- DSHS YES Waiver webpage (Current STP available here)
  - <https://www.dshs.state.tx.us/mhsa/yes/Centers-for-Medicare-and-Medicaid-Services-HCBS-Rules.aspx>

# Ongoing Feedback

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Please submit any additional questions or feedback on this topic to:

[Medicaid\\_HCBS\\_Rule@hhsc.state.tx.us](mailto:Medicaid_HCBS_Rule@hhsc.state.tx.us)

Please make sure you are signed up for alerts as additional information on surveys and additional information about upcoming webinars will be sent out using web alerts.



**THANK YOU!**